CO 330 Fall 2011 Solutions #5 due Friday, Nov.18.

Exercises: 9.4, 9.6, 9.8, 10.3, 10.4, 10.11.

9.4 (a)

$$A(x) = \prod_{j=1}^{\infty} (1 + x^j + x^{4j} + x^{5j}).$$

(b)

$$B(x) = \prod_{i=1}^{\infty} \frac{1 + x^{4i}}{1 - x^{2i-1}}.$$

(c)

$$\begin{aligned} A(x) &= \prod_{j=1}^{\infty} (1+x^j)(1+x^{4j}) \\ &= \prod_{j=1}^{\infty} (1+x^j)(1+x^{4j}) \frac{1-x^j}{1-x^j} \\ &= \prod_{j=1}^{\infty} \frac{(1-x^{2j})(1+x^{4j})}{1-x^j} \\ &= \prod_{j=1}^{\infty} \frac{1+x^{4j}}{1-x^{2j-1}} = B(x). \end{aligned}$$

9.6 We begin with the generating function for the set of all partitions, with respect to both size and length (= number of parts).

$$\sum_{\lambda \in \mathcal{Y}} x^{n(\lambda)} y^{k(\lambda)} = \prod_{j=1}^{\infty} \frac{1}{1 - x^j y}.$$

Setting y = -1 in this, the partition λ will contribute $x^{n(\lambda)}$ if $k(\lambda)$ is even, or $-x^{n(\lambda)}$ if $k(\lambda)$ is odd. Thus,

$$\begin{split} \sum_{n=0}^{\infty} (\operatorname{pe}(n) - \operatorname{po}(n)) x^n &= \prod_{j=1}^{\infty} \frac{1}{1+x^j} \\ &= \prod_{j=1}^{\infty} \left(\frac{1}{1+x^j} \cdot \frac{1-x^j}{1-x^j} \right) \\ &= \prod_{j=1}^{\infty} \frac{1-x^j}{1-x^{2j}} \\ &= \prod_{j=1}^{\infty} (1-x^{2j-1}) \\ &= \sum_{\lambda \in \mathcal{OD}} x^{n(\lambda)} (-1)^{k(\lambda)} \\ &= \sum_{n=0}^{\infty} (-1)^n \operatorname{od}(n) x^n. \end{split}$$

In this calculation \mathcal{OD} is the set of partitions with odd and distinct parts, and the last equality uses the fact that, since $\lambda \in \mathcal{OD}$ is a partition in which every part is odd, it follows that $k(\lambda) \equiv n(\lambda) \pmod{2}$. By equating the coefficients of x^n on both ends of this calculation we obtain the desired result.

9.8 (a)

$$\begin{aligned} A(x,y) &= \sum_{\lambda \in \mathcal{Y}} x^{n(\lambda)} y^{m_1(\lambda)} \\ &= (1 + xy + x^2 y^2 + x^3 y^3 + \cdots) \prod_{j=2}^{\infty} \frac{1}{1 - x^j} \\ &= \frac{1}{1 - xy} \prod_{j=2}^{\infty} \frac{1}{1 - x^j} \end{aligned}$$

(b)

$$B(x,y) = \sum_{\lambda \in \mathcal{Y}} x^{n(\lambda)} y^{b(\lambda)}$$

=
$$\prod_{j=1}^{\infty} \left(1 + x^j y + x^{2j} y + x^{3j} y + \cdots \right)$$

=
$$\prod_{j=1}^{\infty} \left(1 + \frac{x^j y}{1 - x^j} \right)$$

(c) To prove the result it suffices to show that

$$\left. \frac{\partial}{\partial y} A(x,y) \right|_{y=1} = \left. \frac{\partial}{\partial y} B(x,y) \right|_{y=1}.$$

By equating the coefficient of x^n on both sides, the result follows. Now

$$\begin{split} \frac{\partial}{\partial y} A(x,y) \Big|_{y=1} &= \left. \frac{x}{(1-x)^2} \prod_{j=2}^{\infty} \frac{1}{1-x^j} \right. \\ &= \left. \frac{x}{1-x} \prod_{j=1}^{\infty} \frac{1}{1-x^j} \right. \end{split}$$

and

$$\begin{split} \left. \frac{\partial}{\partial y} B(x,y) \right|_{y=1} &= \left. \sum_{j=1}^{\infty} \frac{x^j}{1-x^j} \prod_{i\neq j}^{\infty} \frac{1}{1-x^i} \right. \\ &= \left. \left(\prod_{i=1}^{\infty} \frac{1}{1-x^i} \right) \sum_{j=1}^{\infty} x^j \right. \\ &= \left. \frac{x}{1-x} \prod_{i=1}^{\infty} \frac{1}{1-x^i} \right. \end{split}$$

That completes the proof.

10.3(a) The generating function for partitions is

$$\sum_{n=0}^{\infty} p(n)x^n = \prod_{j=1}^{\infty} \frac{1}{1-x^j},$$

and by Euler's Pentagonal Number Theorem,

$$\prod_{j=1}^{\infty} (1-x^j) = \sum_{h=-\infty}^{\infty} (-1)^h x^{h(3h-1)/2}.$$

Therefore

$$1 = \prod_{j=1}^{\infty} \frac{1-x^j}{1-x^j}$$
$$= \left(\sum_{i=0}^{\infty} p(i)x^n\right) \left(\sum_{h=-\infty}^{\infty} (-1)^h x^{h(3h-1)/2}\right)$$
$$= \sum_{n=0}^{\infty} \left(\sum_{h=-\infty}^{\infty} (-1)^h p(n-h(3h-1)/2)\right) x^n$$

in which p(i) = 0 for all i < 0. Equating the coefficients of x^n on both sides yields

$$\sum_{h=-\infty}^{\infty} (-1)^h p\left(n - \frac{h(3h-1)}{2}\right) = \begin{cases} 1 & \text{if } n = 0, \\ 0 & \text{if } n > 0, \end{cases}$$

as claimed.

10.4 Recall the *q*-Binomial Theorem:

$$\prod_{i=1}^{n} (1+q^{i}x) = \sum_{k=0}^{n} q^{k(k+1)/2} \begin{bmatrix} n \\ k \end{bmatrix}_{q} x^{k}.$$

Thus

$$\lim_{n \to \infty} \sum_{k=0}^{n} q^{k(k+1)/2} \begin{bmatrix} n \\ k \end{bmatrix}_{q} (-1)^{k}$$
$$= \lim_{n \to \infty} \prod_{i=1}^{n} (1-q^{i})$$
$$= \prod_{i=1}^{\infty} (1-q^{i})$$
$$= \sum_{h=-\infty}^{\infty} (-1)^{h} q^{h(3h-1)/2}.$$

The infinite product converges as a sequence of formal power series in $\mathbb{Z}[[q]]$ by Proposition 7.14.

4

10.11 To prove Gauss's identity

$$\prod_{j=1}^{\infty} (1+x^j)(1-x^{2j}) = \sum_{h=0}^{\infty} x^{h(h+1)/2}$$

we specialize the Jacobi Triple Product Formula

$$\sum_{h=-\infty}^{\infty} x^{h^2} y^h = \prod_{i=1}^{\infty} (1 + x^{2i-1} y)(1 + x^{2i-1} y^{-1})(1 - x^{2i}).$$

Consider putting $x = y = t^{1/2}$. Then

$$x^{h^2}y^h = t^{h^2/2 + h/2} = t^{h(h+1)/2}$$

which is good. Also notice that if $h \ge 0$ and k = -1 - h then

$$\frac{k(k+1)}{2} = \frac{(-1-h)(-1-h+1)}{2} = \frac{h(h+1)}{2}.$$

Therefore

$$\sum_{h=-\infty}^{\infty} t^{h(h+1)/2} = 2 \sum_{h=0}^{\infty} t^{h(h+1)/2}.$$

Finally, the RHS of the JTPF specializes to

$$\prod_{i=1}^{\infty} (1+t^{(2i-1)/2}t^{1/2})(1+t^{(2i-1)/2}t^{-1/2})(1-t^{(2i)/2})$$

$$= \prod_{i=1}^{\infty} (1+t^i)(1+t^{i-1})(1-t^i)$$

$$= 2\prod_{i=1}^{\infty} (1+t^i)^2(1-t^i)$$

$$= 2\prod_{i=1}^{\infty} (1+t^i)(1-t^{2i}).$$

Comparing these results proves Gauss's identity.